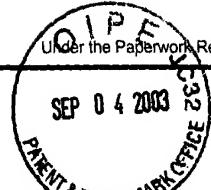


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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/829,915
Filing Date	April 11, 2001
First Named Inventor	Masami TSUKAMOTO
Group Art Unit	2877
Examiner Name	K. Brown
Attorney Docket Number	00684.003179

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

*NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See, Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.*

1.	Submission required under 37 C.F.R. § 1.114
a.	<input type="checkbox"/> Previously submitted <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____, (Any unentered amendment(s) referred to above will be entered).</li> <li>ii. <input type="checkbox"/> Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____</li> <li>iii. <input type="checkbox"/> Other _____</li> </ul>
b.	<input checked="" type="checkbox"/> Enclosed <ul style="list-style-type: none"> <li>i. <input checked="" type="checkbox"/> Preliminary Amendment</li> <li>ii. <input type="checkbox"/> Affidavit(s)/Declaration(s)</li> <li>iii. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)</li> <li>iv. <input type="checkbox"/> Other: _____</li> </ul>
2.	<b>Miscellaneous</b> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)</li> <li>b. <input type="checkbox"/> Other: _____</li> </ul>
3.	<b>Fees</b> <p>The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 06-1205           <ul style="list-style-type: none"> <li>i. <input checked="" type="checkbox"/> RCE fee required under 37 C.F.R. § 1.17(e)</li> <li>ii. <input type="checkbox"/> Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)</li> <li>iii. <input type="checkbox"/> Other: _____</li> </ul> </li> <li>b. <input checked="" type="checkbox"/> A check in the amount of \$750.00 is enclosed to cover the RCE filing fee.</li> <li>c. <input type="checkbox"/> Payment by credit card (Form PTO-2038 enclosed)</li> </ul>

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Steven E. Warner	Registration No. (Attorney/Agent)	33,326
Signature			
	Date	September 4, 2003	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

Form #161  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:  
Masami TSUKAMOTO  
Application No.: 09/829,915  
Filed: April 11, 2001  
For: CONTAMINATION PREVENTION  
IN OPTICAL SYSTEM

) : Examiner: K. Brown  
): Group Art Unit: 2877  
): Allowed: June 4, 2003  
): Confirmation No.: 8192  
): September 4, 2003

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

No additional fee is required.

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	36	MINUS	44	= 0	x \$9 \$18	\$0.00
INDEP. CLAIMS	3	MINUS	3	= 0	x \$42 \$84	\$0.00
Fee for Multiple Dependent claims \$140/\$280						\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0.00



°Verified Statement claiming small entity status is enclosed, if not filed previously.

A check in the amount of \$\_\_\_\_\_ is enclosed.

Charge \$\_\_\_\_ to Deposit Account No. 06-1205. A duplicate of this sheet is enclosed.

Any prior general authorization to charge an issue fee under 37 CFR 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

A check in the amount of \$\_\_\_\_\_ to cover the fee for a two month extension is enclosed.

A check in the amount of \$\_\_\_\_ to cover the Information Disclosure Statement fee is enclosed.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant  
Steven E. Warner  
Registration No. 33,326

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